

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.: S-102,311

First Inventor or Application Identifier: Victor I. Klimov

Title: NANOCRYSTAL/SOL-GEL NANOCOMPOSITES

Express Mail Label No.: ER311841375US

03945 U.S. PTO
10/7/03

APPLICATION ELEMENTS

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ * Fee Transmittal Form (e.g. PTO/SB/17)
(submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages: 19]
 - ☒ Descriptive title of the Invention
 - ☐ Cross References to Related Applications
 - ☒ Statement Regarding Fed sponsored R&D
 - ☐ Reference to sequence listing, a table or a computer program listing appendix
 - ☒ Background of the Invention
 - ☒ Brief Description of the Drawings (if filed)
 - ☒ Detailed Description
 - ☒ Claim(s)
 - ☒ Abstract of the Disclosure
4. ☒ Drawings(s) (35 U.S.C. 113) [Total Sheets: 3]
 - ☐ Formal ☒ Informal
5. ☒ Declaration & Power of Attorney [Total Pages: 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 63(d)
(for continuation/divisional with Box 16 completed)
 - c. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33 (b).

6. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies): or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & documentation)
9. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
14. ☐ Nonpublication Request and Certification Under 35 U.S.C. 122(b)(2)(b)(i)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application S.N. ____/____.

Prior application information:

Examiner:

Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

16. CORRESPONDENCE ADDRESS

☒ Customer NumberOR ☐ Correspondence Address Below

35068

Name: Bruce H. Cottrell

Address: Los Alamos National Laboratory
LC/IP, MS A187

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Name (Print/Type): Bruce H. Cottrell

Registration No. (Attorney/Agent): 30,620

Signature:

Date: November 17, 2003

FEE TRANSMITTAL

For FY 2004

Patent fees are subject to annual revision
(submit an original and a duplicate for fee processing)

Complete if Known

Application Number:	
Filing Date:	
First Named Inventor:	Victor I. Klimov
Examiner Name:	
Group/Art Unit:	
Attorney Docket No.:	S-102,311

METHOD OF PAYMENT

1. ☒ The commissioner is hereby authorized to charge indicated fees and credit any over payments to:
 Deposit Account Number: **12-2150**
 Deposit Account Name: Los Alamos National Laboratory
☒ Charge Any Additional Fee Required Under 37 C.F.R. 1.16 and 1.17
☒ Applicant claims small entity status. See 37 CFR 1.27

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
\$770	\$385	Utility filing fee	385
\$770	\$385	Reissue filing fee	
\$160	\$80	Provisional filing fee	

SUBTOTAL (1) \$385.00

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from Below	Fee Paid
27	3		7	9	63
			0	0	0

** or number previously paid, if greater; For Reissues, see below

Large Entity Fee	Small Entity Fee	Fee Description
\$18	\$9	Claims in excess of 20
\$86	\$43	Independent claims in excess of 3
\$290	\$145	Multiple dependent claim, if not paid.
\$86	\$43	** Reissue independent claims over original patent
\$18	\$9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$63

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
\$130	\$65	Surcharge – late filing fee or oath	
\$50	\$25	Surcharge – late provisional filing fee or cover sheet	
\$2,520	\$2,520	For filing a request for reexamination	
\$110	\$55	Extension for reply within first month	
\$420	\$210	Extension for reply within second month	
\$950	\$475	Extension for reply within third month	
\$1,480	\$740	Extension for reply within fourth month	
\$2,010	\$1,005	Extension for reply within fifth month	
\$330	\$165	Notice of Appeal	
\$330	\$165	Filing a brief in support of an appeal	
\$290	\$145	Request for oral hearing	
\$110	\$55	Petition to revive – unavoidable	
\$110	\$55	Terminal Disclaimer	
\$1,330	\$665	Petition to revive – unintentional	
\$130	\$130	Petitions to the Commissioner	
\$50	\$50	Petitions related to provisional applications	
\$180	\$180	Submission of Information Disclosure Statement	
\$770	\$385	Filing a submission after final rejection (37 CFR 1.129 (a))	
\$770	\$385	For each additional invention to be examined (37 CFR 1.129(b))	
\$100	\$100	Certificate of Correction	
\$300	\$300	Publication fee for early, voluntary, or normal publication	
\$770	\$385	Request for Continued Examination (RCE)	

Other fee (specify) _____

SUBTOTAL (3) \$0

Reduced by Basic Filing Fee Paid

SUBTOTAL FROM 1	\$385
SUBTOTAL FROM 2	\$63
SUBTOTAL FROM 3	\$0

TOTAL AMOUNT OF PAYMENT \$448

SUBMITTED BY

Complete (if applicable)

Printed Name: Bruce H. Cottrell

Reg. No. 30,620

Signature: *Bruce H Cottrell*

Date: 11/17/03

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